

PTO/SB/01 (04-05)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unloss it contains a valid OMB control number. Attorney Docket **DECLARATION FOR UTILITY OR** Number DESIGN First Named Inventor Barry James SMALL PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) Application Number 10/509,297 Declaration Filing Date Declaration 28 March 2002 Submitted OR Submitted after initial With Initial Art Unit Filing (surcharge (37 CFR 1.16 (c)) Filing required) **Examiner Name** I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Thermal storage device (Title of the Invention) the specification of which is attached hereto OR ~ was filed on (MM/DD/YYYY) 09/24/2004 as United States Application Number or PCT International Application Number PCT/AU02/00413 and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. **Prior Foreign Application** Foreign Filing Date **Priority Certified Copy Attached?** Number(s) Country (MM/DD/YYYY) Not Claimed 20022/1521 Australia 03/28/2002 ~

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Fatonts, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.



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Garth Paton										
Address										
Unit 1, 68 Wolseley Road										
City				Sta	ite				710	
Point Piper				NSW					ZIP	
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Australia		61 2 9362					Ema			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on informat and belief are believed to be true; and further that these statements were and with the statements made on information.										
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Barry James							me			
Inventor's Signature						Small	-		Date	
Residence: City	State			T						
Sydney	NSW		Cou	•			Citizen			
Mailing Address Australia Australia										
07 Warren Road										
City	State				Zip				Caunta	
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As Company Director of ACN10639	96696 Pty Ltd (assi	gnee of no	nsigning inv	ventor)	,	1 1/16	1,-		9/06/2005	
Residence: City	State			Cour		16 1	"	Citizens		
Sydney	NSW				Australia			Australia		
Mailing Address Jnlt 1, 66 Wolseley Road	_ 			\ ·				Augu I		
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Additional Inventors or a legal re	:prosentative are being	g named on	the s	Uppleme	antal et	1981(s) PTO/S	20/024			

[Page 2 of 2]

PTO/SB/01 (04-05)

Barry James SMALL

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Number

First Named Inventor

DECLARATION FOR UTILITY OR

DESIGN

PATENT APPLICATION					COMPLETE IF KNOWN							
(37 CFR 1.63)				1	Application	n Number	10/509	10/509,297				
Declaration Submitted OR With Initial			Declarat		Filing Date	9	03/28/2	03/28/2002				
)K	F	Submitted after Initial Filing (surcharge		Art Unit	******						
Filing			required	R 1.16 (e)). d)	Examiner	Name						
I hereby declare that:												
Each inventor's resider	nce, mail	ling add	dress, a	nd citizenship are a	s stated b	elow next to t	heir name	Э.				
I believe the inventor(s which a patent is sough					nventor(s)	of the subjec	t matter v	which is claim	ed and for			
Thermal storage	device)										
(Title of the Invention)												
the specification of whi	ch			·	ŕ							
is attached her	eto											
OR						•						
was filed on (MI	M/DD/YYYY) 09/24/2004 as United States Application Number or PCT International											
Application Number	oplication Number PCT/AU02/00413 and was amended on (MM/DD/YYYY) (if applicable)							(if applicable).				
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.												
I acknowledge the dut	tv to dis	close i	nformat	tion which is mater	ial to nate	entability as o	defined in	37 CER 1.5	i6 including for			
continuation-in-part ap	plication	s, mate	erial info	ormation which beca	ame avail	able between						
and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent,												
inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one												
country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date												
before that of the applic		n which	priority		B. 4	B *		0 40 10				
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Additional fore	eign app	lication	numbe	ers are listed on a su	upplement	al priority dat	a sheet P	TO/SB/02B a	ttached hereto.			

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

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correspondence to: as	ne address ssociated with ustomer Numbe	er:					OR	V	Correspondence address below	
Name			···			· · · · · · · ·		-		
Garth Paton										
Address										
Unit 1, 66 Wolseley Road							•			
City				State					ZIP	
Point Piper				NSW					2027	
Country		Telepho	ne	Email						
Australia		612 9826	8500 or 936	29388 garth@syne					ythermal.com	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.										
NAME OF SOLE OR FIRST IN	IVENTOR:			etition	has	been filed	for this	s unsiar	ned inventor	
Given Name (first and middle [if any])		<u> </u>			Family N				
Barry James						Small				
Inventor's Signature									Date	
									05/16/2005	
Residence: City	State				ntry		Citizer	nship		
Sydney	NSW			Australia Aux Aust				Australia	a	
Mailing Address 107 Warren Road										
City	State			Zip					Country	
Smithfield	NSW				216	4		1	Australia	
Assignee for a	nd on behalf	of non-	signing) _A	petition ha	as bee	n filed f	or this unsigned inventor	
Given Name (first and middle [if any])					Family Name or Surname					
Garth Alan					Paton					
- Land Signature Date										
For and on behalf of assignee and non-signing inventor: 05/16/2005								05/16/2005		
Residence: City	State			Country				Citizenship		
Sydney	NSW			Australia AUX A				Australia	Australia	
Mailing Address Unit 1, 66 Wolseley Road, Point Piper										
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Additional inventors or a legal re	epresentative are be	ing named o	n thes	upplem	ental s	sheet(s) PTO/	SB/02A	or 02LR a	attached hereto.	

PTO/SB/96 (09-04)

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STATEMENT UNDER 37 CFR 3.73(b)						
Applicant/Patent Owner: ACN 106396696 Pty Limited						
Application No./Patent No.: PCT/AU02/00413 Filed/Issue Date: 09/24/2004						
Entitled: Thermal Storage Device						
ACN 106396696 Pty Limited , a <u>corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation	, partnership, university, government agency, etc.)					
states that it is: 1. the assignee of the entire right, title, and interest; or						
an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is %						
in the patent application/patent identified above by virtue of either:						
A An assignment from the inventor(s) of the patent application/patent identified a in the United States Patent and Trademark Office at Reel, Fram thereof is attached.						
B. A chain of title from the inventor(s), of the patent application/patent identified at below:	pove, to the current assignee as shown					
From: Mastercool International Pty Limited To: Focus Thermal Technology The document was recorded in the United States Patent and Trademark Reel, Frame, or for which a copy to	ologies (International) Pty Ltd Office at nereof is attached.					
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☐ Additional documents in the chain of title are listed on a supplemental sheet ☐ Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s) Division in accordance with 37 CFR Part 3, if the assignment is to be recorded MPEP 302.08]) must be submitted to Assignment					
The undersigned (whose title is supplied below) is authorized to act on behalf of the a	ssignee.					
Signature	05/16/2005 Date					
Garth Paton	612 9826 8500 or 612 9328 9388					
Printed or Typed Name	Telephone Number					
Director & Solicitor						
Title						

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